



TPEX INTRODUCTION

Profile

Name of company	Taipei Exchange
Headquarters	15F, No. 100, Roosevelt Road Section 2, Zhongzheng District, Taipei City
Established in	1994
Main Scope of Service	Helping emerging small-to-medium-sized and micro-enterprises in the high-tech industry, innovation, and creativity industry raise funds to get listed and dealing with transactions on the market of a variety of instruments such as stocks, bonds, ETFs, ETNs, subscription (sale) of warrants, and over-the-counter derivatives, including auto-matching and auto-negotiation mechanism.
Main Market	Taiwan
Total number of operation sites	Guting Office in Taipei, Banqiao Office in New Taipei, Banqiao Machine Room in New Taipei, and Backup Machine Room in Taichung
Number of employees	298
Total assets	\$18.09 billion

History

The over-the-counter stock trading business was originally prepared for by the Taiwan Securities Association in February 1988. In order to normalize the capital market and improve the functionality of the market, the Ministry of Finance decided to set up the Taipei Exchange, a corporation working for the interest of the general public, in 1993. TPEX was established on July 27, 1994 in accordance with the Securities Exchange Act and was officially operative in November of the same year. The starting funds were donations from the Taiwan Stock Exchange (hereafter as “TSE”), the Taiwan Securities Association, and the Taiwan Depository & Clearing Corporation (hereafter as “TDCC”). The competent authority is the Financial Supervisory Commission (hereafter as “FSC”).

Core Value

Playing an important role on the stock market of Taiwan, TPEX has been regarding itself as “the driving force for the economy and the cradle for successful enterprises”, and has been working to “circulate securities and vitalize the economy” so that funds flow as smoothly as running water and more diversified and innovative momentum is instilled into the capital markets of Taiwan.

TPEX is devoted to building a multi-layer market that consists of the mainboard, the emerging stock board, and the GISA, and developing a bond market so that the fund-raising needs of

enterprises varying in scale may be satisfied through a flexible system. In addition, it helps unique enterprises reflect the national economic policy to drive economic developments and industrial transformation. It also proactively develops new financial instruments, optimizes the trading system, and boost transactions on the market, offering enterprises and the general public an even better-quality market platform.

This marks the 25th year since its establishment. Now, TPEX is an internationally unique comprehensive exchange and is reputed by the World Bank as one of the most experienced exchanges in supporting small and medium-sized enterprises.

Core Business

TPEX is a service provider for corporate fund-raising and trading of financial instruments in nature. It helps unique and potential enterprises enter the capital market to raise funds, builds up the primary and secondary markets for a variety of bonds, and provides a centralized bidding and price negotiation-based trading platform in order to meet the needs of issuers and investors on the capital market.

TPEX offers trading service of securities and financial instruments. Therefore, its suppliers mainly consist of service providers, equipment suppliers, and engineering partners, among others, ranging from IT, security, electronic equipment, telecommunications, furniture, to construction and renovation. Most of them are local suppliers. TPEX has its Property Purchase and Management Guidelines in place to be followed for procurements. Besides promoting sustainable corporate development for itself, TPEX exercises the consumption force in the value chain to encourage the suppliers focus on the efforts in environmental protection and sustainability.

Trading Services and Financial Instruments Available at TPEX

